



JCT Rec'd PCT/PTO 20 NOV 2001 PCT \$

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MAYE et al.

Examiner: Unknown

Serial No.: 09/914,551

Group Art Unit: Unknown

Filed: August 29, 2001

Docket: 11318.58USWO

Due Date: December 18, 2001

Title: METHOD FOR CONTROLLING MICROORGANISMS IN A SUGAR-CONTAINING, AQUEOUS PROCESS MEDIUM

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL669945307US

Date of Deposit: November 20, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: 

Chris Stordahl

Box: PCT  
Commissioner for Patents  
Washington, D.C. 20231


Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Request for Extension of Time for 2 month(s) and fee of \$390.00
- ☒ Check(s) in the amount of \$130.00 for Missing Requirements Fee; \$130.00 for Petition Fee under 37 CFR 1.497 (d) (2)
- ☒ Other: Copy of Notification of Missing Requirements; Communication Regarding Submission of Missing Requirements; Communication under 37 CFR 1.497; Declaration by Dr. Günter Pollach; Declaration by Joint Inventors; Translation of IPER and response
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: Brian H. Batzli  
Reg. No.: 32,960  
BHBATZLI/kas





S/N 09914551

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MAYE et al. Examiner: Unknown  
Serial No.: 09/914551 Group Art Unit: Unknown  
Filed: 08/29/2001 Docket No.: 11318.58USWO  
Title: METHOD FOR CONTROLLING MICROORGANISMS IN A SUGAR-  
CONTAINING, AQUEOUS PROCESS MEDIUM

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By: 

Name: Chris Stordahl

COMMUNICATION REGARDING MISSING REQUIREMENTS

BOX PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Requirements completion fee.

11/29/2001 LLANDGRA 00000027 132725 09914551

01 FC:154

130.00 DP

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

By 

Brian H. Batzli

Reg. No. 32,960

Dated: November 20, 2001

JJG/BHB/kas



S/N 09/914551

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MAYE et al. Examiner: Unknown  
Serial No.: 09/914551 Group Art Unit: Unknown  
Filed: 08/29/2001 Docket No.: 11318.58USWO  
Title: METHOD FOR CONTROLLING MICROORGANISMS IN A SUGAR-  
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By: 

Name: Chris Stordahl

COMMUNICATION UNDER 37 CFR 1.497

BOX PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Applicant encloses \$130.00 for a petition under 37 CFR 1.497 (d)(2) to correct an error in the inventorship of the International Application. Applicant has also enclosed a statement by Dr. Gunter Pollach, who is being added as a joint inventor and statements by the joint inventors, John-Paul Maye and David Beddie, agreeing to add Dr. Pollach as a joint inventor.

11/29/2001 LLANDGRA 00000027 132725 09914551

02 FC:122

130.00 0P

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

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By 

Brian H. Batzli

Reg. No. 32,960

Dated: November 20, 2001

JJG/BHB/kas



<input type="checkbox"/> <small>Continuation, Division, or PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.

09/914,551

Maye

11318.58USWO✓

INTERNATIONAL APPLICATION NO.

PCT/DE00/00485

I.A. FILING DATE

PRIORITY DATE

02/22/2000

03/05/1999

CONFIRMATION NO. 9694

371 FORMALITIES LETTER



\*OC00000006907661\*

**JJG**  
 John J Gresens  
 Merchant & Gould  
 PO Box 2903  
 Minneapolis, MN 55402-0903

Miss Req 1: NOV 18 2001

Miss Req 3/PTA: Jan 18 2002 *Dr*

Miss Req stat: Apr 18 2002

Date Mailed: 10/18/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL**

**RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FREDERICK SMITH

Telephone: (703) 305-3654

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,551	PCT/DE00/00485	11318.58USWO